UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION:

CASE NO.: 17cv4179 (DLC)

Plaintiff,

CERTIFICATE OF SERVICE

v. : OF NOTICE OF INITIAL

PRETRIAL CONFERENCE

ALPINE SECURITIES CORPORATION, : AND THE COURT'S

INDIVIDUAL PRACTICES

Defendant.

On July 5, 2017, Counsel for Plaintiff Securities and Exchange Commission served the Court's Notice of Initial Pretrial Conference (Dkt. 10) and the Court's Individual Practices by email on counsel for Defendant:

Mark Smith, Esq. SMITH CORRELLLP Utah, Nevada & California 50 West Broadway, Suite 1010 Salt Lake City, UT 84101 Tel: (801) 436-5550

Fax: (877) 730-5910

msmith@smithcorrell.com

Dated: July 7, 2017

Respectfully submitted,

/s/ Zachary T. Carlyle

Gregory A. Kasper (NY2735404; SDNY GK6596)

Zachary T. Carlyle (pro hac vice)

Terry E. Miller (pro hac vice)

Attorneys for Plaintiff

UNITED STATES SECURITIES AND

EXCHANGE COMMISSION

1961 Stout Street, 17th Floor

Denver, Colorado 80294

(303) 844-1000

CERTIFICATE OF SERVICE

I hereby certify that on July 7, 2017 I served a true and correct copy of the foregoing by email to the following:

Mark Smith, Esq. SMITH CORRELLLLP Utah, Nevada & California 50 West Broadway, Suite 1010 Salt Lake City, UT 84101

Tel: (801) 436-5550 Fax: (877) 730-5910

msmith@smithcorrell.com

/s/ Marla J. Pinkston Marla J. Pinkston